# Quantifying Attrition in Science: A Longitudinal Study of Scientists in 38 OECD Countries

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## 2. Introduction (1/3): Main Questions

- How can we quantify the phenomenon of leaving science?
- How do members of the global scientific community actually disappear from science?
- How attrition differs between men and women? Across disciplines? And over time?
- How does a **global**, **cohort-based**, **longitudinal** approach work in practice (major limitations)?
- How can we meaningfully track scientists over time (here: two decades, different cohorts)?



# 3. Introduction (2/3): Testing Traditional Narratives with a New Global Dataset

- Testing validity of traditional narratives on attrition (which have supported science policies for decades).
- Traditional narratives: (1) Women tend to disappear from academia earlier than men; and (2) women tend to disappear in higher proportions than men (Alper, 1993; Blickenstaff, 2005; Deutsch & Yao, 2014; Goulden et al., 2011; Preston, 2004; Shaw & Stanton, 2012).
- Big numbers: tracking scientists who started publishing in 2000 (N=142,776) and 2010 (N=232,843) over time.
- Comprehensive: publication and citation metadata (Scopus raw dataset, Elsevier's ICSR Lab): careers in 38 OECD countries, 16 STEMM disciplines.
- Testing new possibilities opened up by global bibliometric datasets for large-scale studies of scientific careers.

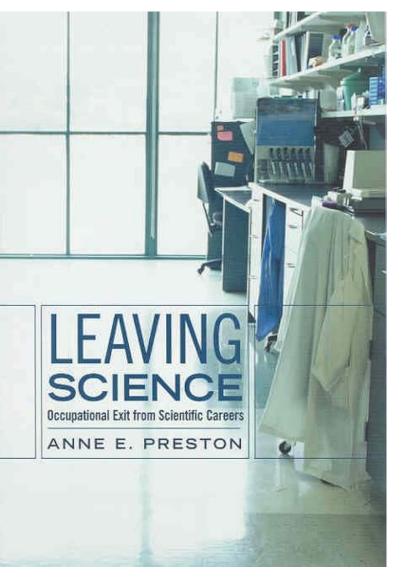


# 4. Introduction (3/3): Global Datasets and Big Data Approaches to Scientists

- Global & longitudinal approaches to academic careers possible today (why: increasing access to digital databases).
- Difficult, expensive, temporary... time-consuming, team work...
- The databases offer comprehensive information about scientists (research outputs, citation-based impact) – we can build individual lifetime histories.
- New opportunities to test traditional conceptual frameworks about science and scientists (academia and the academic profession).
- Systematic explorations of career histories of hundreds of thousands of individual scientists possible.
- Men and women in science // Big Data (e.g., King et al., 2017; Nielsen & Andersen, 2021; Wang & Barabási, 2021; Sugimoto & Larivière, 2023).



## 5. Leaving Science as a Scholarly Theme



- Not examined both **longitudinally** (year by year) and **globally** (many countries, with a focus on disciplines) so far.
- Traditionally explored through small-scale, case study research, mostly survey- and interview-based.
- Concepts of "faculty departure intentions", "faculty turnover", etc. (Zhou & Volkwein 2004; Rosser 2004).
- Focus on single institutions, limited to the USA.
- Explanations of quitting science (in surveys, interviews) (e.g. Cornelius et al., 1988; Goulden et al., 2011; Levine et al., 2011):
  - the problems of keeping work-life balance, parenthood,
  - low job security and low salaries,
  - colleagues and workload concerns,
  - discrimination in the workplace,
  - hostile workplace (chilly) climates (e.g. Spoon et al. 2023)
  - (internal) push vs. (outside) pull factors.

### 6. This Research vs. Previous Research

- A different geographical scale; moving away from a single-country research design toward disciplines and changes over time (cohort-based approach).
- A different methodology (survival analysis & logistic regression analysis), cross-disciplinary and gender differences in attrition.
- Using large cohorts of scientists.
- Longitudinal in the strict sense of the term: cohorts of exactly the same scientists tracked over time on a yearly basis (up to 22 years).
- A wealth of individual micro-level data used.

 Research with Lukasz Szymula from Poznan CPPS Team: "Quantifying Attrition in Science" preprint at ArXiv.



## 7. Scholarly Publishing Events and Survival Analysis

- Leaving science conceptualized as an <u>event</u>: analyzed within <u>survival analysis</u> (Allison, 2014; Mills, 2011).
- Scientific life conceptualized as a sequence of scholarly publishing events (from the first publication event - onwards).
- In event analysis, we compute probabilities of occurrence of an event (here: stopping publishing) at a certain point in time.
- The last publication ever: when scientists stop publishing (uncensored observations only, 2019 vs. 2022; about 90% publish every year).
- No studies combining 3 perspectives: longitudinal, global, and quantitative!



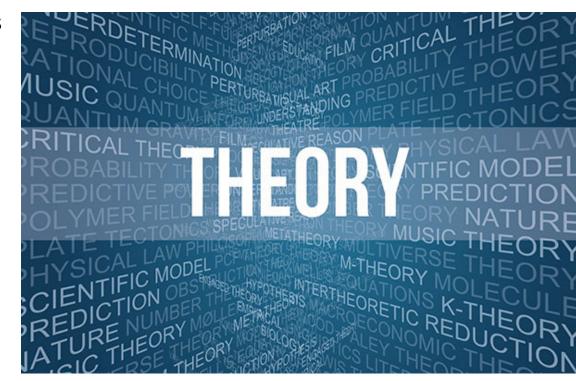
## 8. Theories of Women Leaving Science – Revisited?

The chilly climate theory: a hostile or unwelcoming work environment in STEM fields can discourage women from pursuing and persisting in these fields The "perception of exclusion" and a sense of "not belonging".

(Maranto & Griffin 2011, Wolfinger et al. 2008).

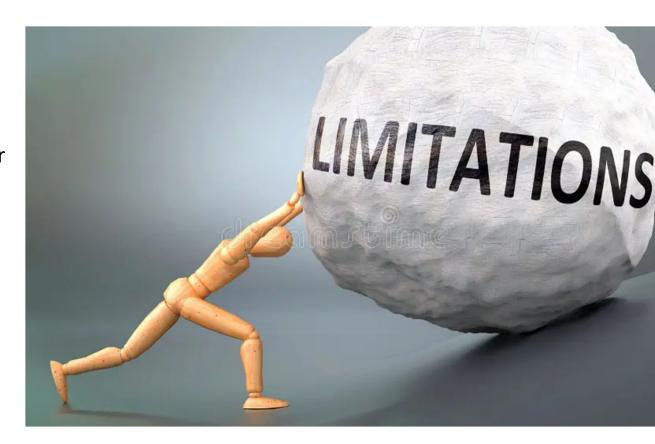
The leaky pipeline theory: a significant loss of talent at every stage of the academic career pipeline due to systemic barriers (such as bias and discrimination). Women either progress through a series of academic stages or leave academia altogether.

(Blickenstaff 2005; Goulden et al. 2011; Shaw & Stanton 2012).



### 9. Global Datasets and Their Limitations

- Only bibliometric-type sources provide access to micro-level data longitudinally?
- Useful to treat global bibliometric datasets as 'structured' Big Data (requiring new algorithmic techniques) for useful information extraction?
- Old limitations of bibliometric datasets: language and STEMM focus, Anglo-Saxon bias, and article-only content. Etc.
- Discussed for years!
- New limitations, on top of previous bibliometric-type limitations.



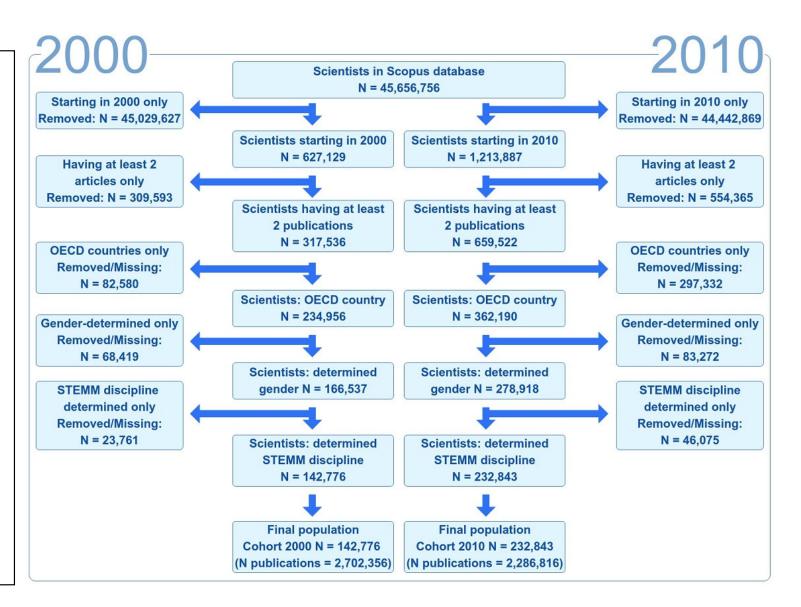
### 10. New Limitations in This Research

- Leaving science as 'stopping publishing': other academic roles dismissed (teaching, service, administration).
- 'In science' and 'out of science': slippery concepts (Preston, 2004).
- Doing science is more than publishing: simplified, much wider cognitive & social processes (Sugimoto & Lariviere, 2023). Various dimensions omitted.
- 'Not publishing anymore' as 'not doing science any more' (as opposed to 'leaving academia'): mentoring, reviewing grant proposals, editing journals).
- Not possible to verify intra-sectorial or extra-sectorial employment of 'non-publishers' at the global level (our datasets).
- Active participation only through publishing.
- Non-English, non-indexed publications not counted (but: STEMM).
- Testing the power of structured, reliable, and curated Big Data (of the Scopus type).



### 11. Dataset

- Two cohorts.
- Also: all 11 cohorts 2000-2010.
- The steps taken:
  - non-occasional scientists with at least two journal articles;
  - country affiliation as an OECD country;
  - gender (binary: male or female);
  - discipline as STEMM.



# 12. For every scientist, we have <u>micro-level data (mostly computed by us)</u>: demographic, institutional, publishing & collaboration patterns. Examples: Cohort 2000 & Cohort 2010, N=375,619.

Scientist ID, the two- cohort database	Gender	Discipline	Country affiliation	Institutional type	Year entering science (year of first publication)	Year leaving science (year of last publication plus 1)	International collaboration rate, lifetime (%)	Average publication journal percentile, lifetime (1-99)	Median team size, lifetime	FWCI 4y - Field- Weighted Citation Impact, 4 years	Scholarly output, lifetime
Panel 1: Scientists – Cohort 2000 (N=142,776)											
ID 1	Female	MED	Spain	Rest	2000	2020	60.26	31.24	6.5	0.81	78
ID 2	Male	COMP	United States	TOP200	2000	2004	40.00	99.00	4	4.95	10
ID 3	Female	AGRI	France	Rest	2000	2008	21.43	68.15	4	0.88	14
ID 4	Male	PHYS	Japan	TOP200	2000	2013	0.00	90.00	5	1.37	3
ID 5	Female	CHEM	Denmark	Rest	2000	2001	75.00	1.00	3	1.19	4
ID 142776	Male	MED	Germany	Rest	2000	2017	26.67	72.60	3	2.05	30
	Panel 2: Scientists - Cohort 2010 (N=232,843)										
ID 142777	Male	ENER	United Kingdom	TOP200	2010	2012	33.33	98.00	5	1.15	6
ID 142778	Female	IMMU	Switzerland	TOP200	2010	2020	27.27	82.10	5	0.78	11
ID 142779	Female	BIO	Belgium	Rest	2010	2017	100.00	29.50	4	0.10	2
ID 142780	Male	ENG	Canada	Rest	2010	2014	14.29	31.43	2.5	2.04	7
ID 142781	Male	MED	Italy	Rest	2010	2012	100.00	14.00	10	0.13	3
ID 375619	Female	AGRI	Australia	TOP200	2010	2015	0.00	91.08	5	1.93	9

#### 13. <u>Kaplan–Meier estimate for the 2000 cohort population</u>, by gender (all disciplines combined).

The Kaplan–Meier probability of staying: lower than 50% for women in year 10, for men in year 12.

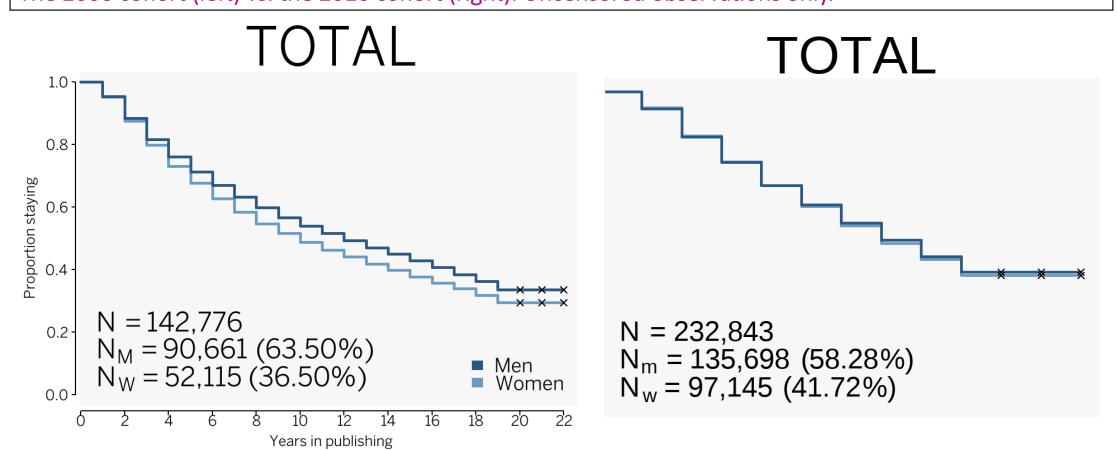
	Women			Men		
Time	n	n	KM probability	n	n	KM probability
(years)		leaving	(staying) with 95% CI		leaving	(staying) with 95% CI
		science	and SE		science	and SE
1	52,115	2,530	$0.951 (0.950 - 0.953)^{1}$	90,661	4,151	0.954 (0.953-0.956)1
2	49,585	3,985	0.875 (0.872-0.878)1	86,510	6,302	0.885 (0.883-0.887)1
3	45,600	3,948	0.799 (0.796-0.803)2	80,208	6,114	0.817 (0.815-0.820)1
4	41,652	3,553	$0.731 (0.727 - 0.735)^2$	74,094	5,062	0.761 (0.759–0.764)1
5	38,099	2,838	$0.677 (0.673 - 0.681)^2$	69,032	4,356	0.713 (0.710–0.716) <sup>2</sup>
6	35,261	2,602	0.627 (0.623-0.631)2	64,676	3,934	0.670 (0.667–0.673)2
7	32,659	2,183	0.585 (0.581-0.589)2	60,742	3,458	0.632 (0.629-0.635)2
8	30,476	1,961	$0.547 (0.543 - 0.551)^2$	57,284	3,110	0.598 (0.594–0.601) <sup>2</sup>
9	28,515	1,665	0.515 (0.511-0.520) <sup>2</sup>	54,174	2,774	0.567 (0.564-0.570)2
10	26,850	1,472	$0.487 (0.483 - 0.491)^2$	51,400	2,465	$0.540 (0.537 - 0.543)^2$
11	25,378	1,264	$0.463 (0.458 - 0.467)^2$	48,935	2,225	$0.515 (0.512 - 0.518)^2$
12	24,114	1,158	0.440 (0.436-0.445)2	46,710	2,055	0.493 (0.489-0.496)2
13	22,956	1,151	0.418 (0.414-0.423)2	44,655	2,032	0.470 (0.467-0.473)2
14	21,805	1,089	$0.398 (0.393 - 0.402)^2$	42,623	1,889	0.449 (0.446–0.453) <sup>2</sup>
15	20,716	1,048	$0.377 (0.373 - 0.382)^2$	40,734	1,884	0.429 (0.425-0.432)2
16	19,668	1,033	0.358 (0.353-0.362)2	38,850	1,959	0.407 (0.404-0.410)2
17	18,635	1,002	0.338 (0.334-0.342)2	36,891	2,020	0.385 (0.381-0.388)2
18	17,633	1,064	0.318 (0.314-0.322)2	34,871	2,070	0.362 (0.359-0.365)2
19	16,569	1,228	0.294 (0.290-0.298)2	32,801	2,350	0.336 (0.333-0.339)2

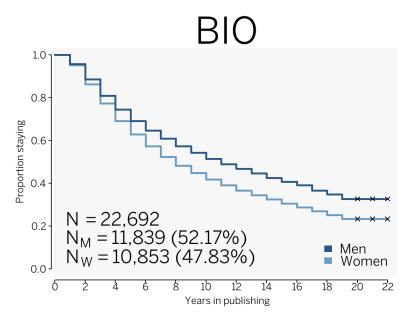
Note: (1) Standard Error 0.001, (2) Standard Error 0.002.

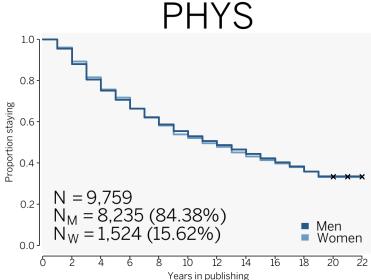
- Multiplying all the probabilities of survival across all time intervals preceding given point in time.
- The estimated probability that a woman will survive in science 10 years is 48.7% (54% for men).
- The cumulative probability of staying at the end of the study period (19 years): women 29.4%, men 33.6%
- Significantly higher probabilities of staying for men for each year studied!

14. Kaplan-Meier survival curve by gender, all disciplines combined.

KM estimates: computing probabilities of occurrence of an event (= leaving science) at a certain point in time. Tick-marks: observations whose survival times have been right-censored. The 2000 cohort (left) vs. the 2010 cohort (right). Uncensored observations only.







# 15. Disciplinary variations: Kaplan-Meier survival curve by gender, <u>BIO</u> Biochemistry, Genetics & Molecular Biology vs. <u>PHYS</u> Physics and Astronomy, the 2000 cohort

- In BIO, women are one-fifth more likely to drop out of science after both 5 and 10 years (20.78% and 19.96%).
- In contrast, PHYS is a perfect example of the lack of gender differences in attrition.
- Strikingly, in the three <u>math-intensive</u> <u>disciplines</u>, <u>MATH</u>, <u>COMP</u>, and <u>PHYS</u> which have very low numbers and percentages of women the survival curves for men and women are <u>nearly identical</u> (overlapping survival curves).
- For scientists starting publishing in 2000, gender differences in attrition in PHYS do not exist.

## 16. Explanation?

- In disciplines with very low representation of women (PHYS, COMP, MATH, ENG), the newcoming and surviving women are extremely talented and hardworking?
- Women as very visible minorities (10%-20%) may act as exemplary figures, representatives of women in university departments (as in companies, Kanter 1977). All their actions are public.
- Small numbers & percentages of women (alone or nearly alone in a peer group of men scientists): highly competitive from the very beginning?
- Despite any discrimination women might meet in heavily male-dominated environments, they stay in the system of science as powerfully as men do.



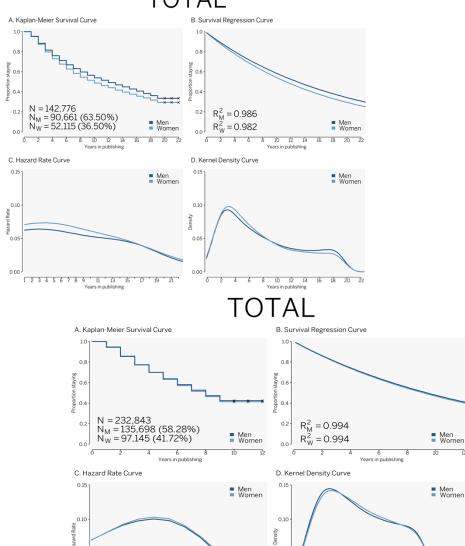
# 17. <u>Inter-Cohort</u> Differences: Cohort 2000 vs. Cohort 2010 (Approaches).

- (1) Kaplan-Meier Curve
- (2) Survival Regression Curve
- (3) Hazard Rate Curve, and
- (4) Kernel Density Curve, all disciplines combined.

A dramatic lack of difference for cohort 2010 - compared with the 2000 cohort, where the results were substantially gender-sensitive.

However, big story (Total: all disciplines combined) hides smaller-scale disciplinary stories... not discussed today (separate figures and analyses for each discipline)!

#### TOTAL



Years in publishing

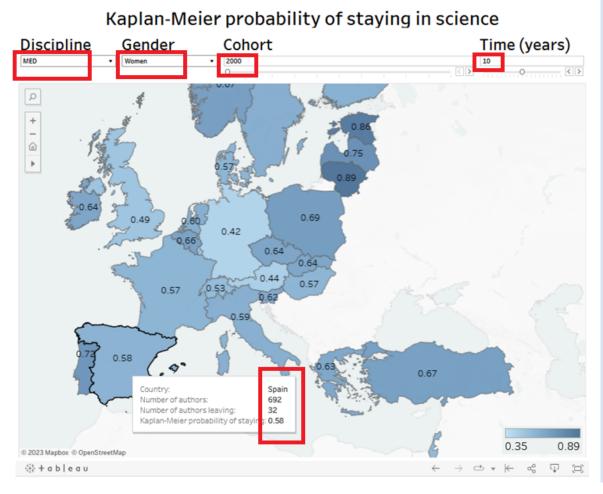
Years in publishing

### 18. Attrition Patterns – Less Gendered Over Time...

- The differences between men and women so starkly visible for the 2000 cohort – almost <u>disappear</u> for the 2010 cohort.
- Different attrition <u>patterns</u> for different <u>cohorts</u> of scientists!
- The findings valid for older cohorts of scientists (here: 2000 cohort) may not be applicable to younger cohorts (here: 2010 cohort).
- Time in science matters! Science environment is different – cohorts experience attrition differently!



19. A snapshot of an <u>interactive dashboard</u>: Kaplan-Meier probabilities of staying in science by country, discipline, gender and cohort (11 cohorts 2000-2010) (N=2,127,803). Who stays in science, who leaves, where?



- Select: country, discipline, gender.
- For 11 cohorts, 2000-2010
- N=2,127,803 scientists.
- Example: the probability of staying in science, women, 2000 cohort, in MED (Medicine), after 10 years, Europe: only about 40% for women in Germany and Austria, as opposed to about 90% in Estonia and Lithuania.
- Different career prospects!
- Highlighted country data in red: Spain.
- Address:

https://public.tableau.com/app/profile/marek.kwiek/viz/Attrition-in-science-OECD/Dashboard

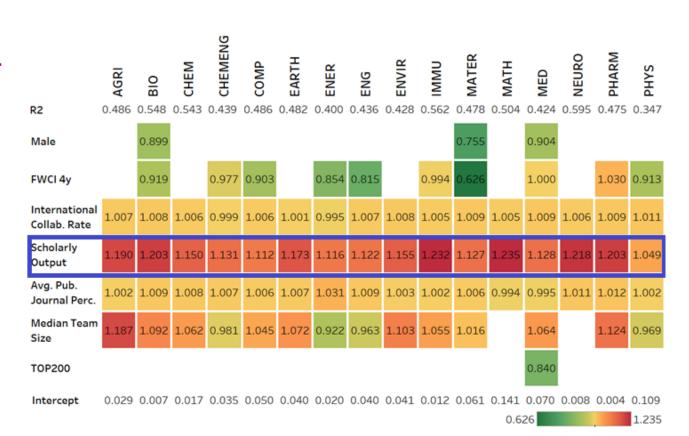
### 20. Logistic Regression Analysis

- To estimate the odds ratios of staying in science from a multidimensional perspective.
- Success = entering the 30% of scientists from the 2000 cohort who stayed in science after 19 years.
- Is scientific career relatively <u>predictable</u>, based on mostly research-related requirements?
- We hypothesized important roles of: (1) high research productivity, (2) publishing in high-impact journals, and (3) gender.



# 21. Logistic regression analysis, odds ratio estimates for staying in science. Cohort 2000 (N=142,776), publication period 2000–2019 (20 years)

- A powerful message: publication number (variable: Scholarly Output) is the single most influential predictor of publishing in the following year!
- Lifetime (cumulative) number of publications statistically significant for every discipline.
- An increase in the total number of publications by one - increases the odds of publishing in the following year on average by about 10%-20%.
- Quality of publications matters less (two variables): citations marginally, journal prestge in some disciplines only.
- 38 countries combined (diferent systems!)



## 22. Conclusions from Logistic Regression Analysis



- Somehow surprising results! A new large-scale data context - for extant (national) literatures.
- The role of gender much smaller than expected: in the presence of other variables, being male not a statistically significant predictor!
- Quantity of publications more important as a predictor than their quality (all other things being equal).
- Quality-related independent variables related to publishing less important than expected: journal prestige matters more than (field-normalized) citations.

### 23. Methodological (& Ontological) Takeaway Messages: Global Studies

- Nationally: bibliometric data can be merged with administrative and biographical data. But datasets for a few countries only (e.g., USA, Norway, Poland).
- Globally: biographical information (gender, year of birth, national discipline, employment history, promotions) is not available!
- All scientists registered nationally = replaced with publishing-only scientists indexed by Scopus (or WoS).
- Real scientists with national IDs = replaced with Scopus Author IDs.
- Perfect national admin & biographical data (registries) = replaced with inferred data or proxies.
- Global studies are useful for moving beyond national analytical containers and toward disciplines globally – global academic profession.
- Trade-offs needed to test new ideas and to use new data to test old ideas!



## 24. Final Words on Attrition in Science (1/2)

- Attrition in science requires longitudinal, global datasets to study - if we want to move beyond single countries and examine it over time.
- "Leaving science" is undergoing significant transformations as new cohorts enter science. New (working, professional, other) conditions!
- Attrition in science means different things for men & different things for women in different disciplines
- Attrition in science means different things for <u>scientists</u> from different <u>cohorts</u> (entering the scientific workforce).



- Gender differences in attrition in science are smaller with each successive cohort (results only for attrition, not participation!)
- Traditional assumptions about how scientists disappear from science – may need careful revisions.
- New conditions new data new analyses new policy implications?
- Generally, attrition today is **very high, on the rise** (60% of scientists from cohort 2010 disappear within 9 yrs).
- So, first: <u>attrition</u> is an issue (for both M & W)!
- So, second: job attractiveness, working conditions, career opportunities for <u>scientists (M & W) - increasingly matter!</u>
- So, third: more explanations needed: why do we massively leave science, academia, research? (qualitative studies, accompanying Big Data analyses)... pull vs. push factors...
- Thank you! Contact? <u>marek.kwiek@amu.edu.pl</u>, Twitter: @Marek\_Kwiek

# 25. Final Words(2/2)

